

## **Outline Internal Audit Plan for 2021/22 (Revised June 2021)**

29/3/21-3/4/22 (53 weeks)

Based upon the current 1.0 FTE and in line with the number of days per auditor / classification of assignments that had been considered for a common shared internal audit service

<b>Key Financial Systems</b>	<b>56</b>
<i>(Key control checking for the main 'financial systems' - Revenues &amp; Benefits, Finance, Accounts Payable / Receivable, Payroll, etc.)</i>	
<b>ICT Audit (including projects)</b>	<b>20</b>
<i>(Likely to include Office/365, Digital Agenda, Electronic Payments Processing, replacement Housing IT system, etc.)</i>	
<b>Business Systems Audit</b>	<b>20</b>
<i>(Audit work TBC in service areas as agreed with senior management, including work required areas related to revised strategic priorities, emerging high risk areas, etc. e.g. Financial Resilience, Climate Change, Regeneration, Community Infrastructure Levy Commercial Strategy, Future Ways Of Working, etc.)</i>	
Contract Audit	1
Follow-Ups	2
PSIAS / QAIP (includes reviewing & updating audit procedures)	3
Ongoing Covid-19 Work (e.g. grants checks, financial returns, etc.)	15
<b>Total Chargeable Days (Audit)</b>	<b>117</b>
Governance / AGS	6
National Fraud initiative (NFI)	12
Corporate Fraud	3
Audit Advice	4
External Audit Liaison	4
Committee Representation	6
Planning & Control	15
Contingency (e.g. for special investigations)	5
Meetings (Corporate)	4
RIPA	2
FOI	2
<b>Total Chargeable Days (Non-Audit)</b>	<b>63</b>
<b>Total Chargeable Days</b>	<b>180</b>

(Chargeable days are those after allowance for bank holidays, leave, sickness, admin, etc. which are an overhead and not directly relevant to Council service areas)